

Sponsor's/Legal Representative/Clinical Research Organisations Questionnaire 2006

CLINICAL TRIALS NO FAULT COMPENSATION

(please note that where No Fault Compensation is not acceptable more specific local cover can be arranged but a different proposal form may be required. In this instance please contact us to discuss)

The form must be signed by a Partner or Director or Authorised Signatory of the Firm.

All questions must be answered, however, if a question or section is not applicable then please answer "N/A". The completion and signature of this form does not bind the Proposer or Underwriter to complete a contract of insurance unless specific agreement is given by both parties.

It is your duty to disclose all material facts to Insurers. A material fact is one that is likely to influence a prudent Insurer's judgement and acceptance of your proposal. If you are in any doubt as to whether or not certain information is material then it should be disclosed.

If you have any queries then please contact us to discuss.

**Windsor Insurance Brokers Ltd
Pharmaceutical Division
2 America Square
London EC3N 2LU
Tel: 0207 133 1273
Fax: 0207 133 1500
E-Mail: luke.giles@windsor.co.uk**

QUESTIONS	ANSWERS
Full Name (s) of all companies or Bodies to be Insured	
Address of Registered Office:	
Full Description of Business:	
Date Established:	
Date first commence Clinical Trials:	
For each trial to be insured please attached a copy Protocol Document (if Final version not available please submit Draft or Synopsis for quote) plus Informed Patient Consent Form	

1. Are all trials conducted in full accordance with:
 - a) Department of Health requirements with protocols approved by an independent Ethics Committee? YES / NO
 - b) Royal College of Physicians recommendations: YES / NO
 - c) Applicable Government Department or Medical Body or Pharmaceutical Industry Body Guidelines? YES / NO
 - d) E.C. 2001/20/EC Directive on Good Clinical Practice? YES / NO
 - e) I.C.H. Guidelines? YES / NO
 - f) Do all First-in-human studies follow the 2006 APBI/BIA Guidelines to improve conduct of early stage clinical trials? YES / NO

2. Are you the Sponsors of the Trial(s) to be Insured? YES / NO
 If 'NO' please advise your involvement (ie Legal Representative, Clinical Research Organisation, Principal Investigator etc...)

3. Are all trials conducted in the United Kingdom YES / NO
 If 'NO' then please state Territories under Q7

4. Give details of any Claims or Serious Adverse Events during the last 5 years which might give rise to a claim of compensation against you:

5. DETAILS OF TRIALS *PERFORMED* IN THE LAST 12 MONTHS (please complete on separate page if insufficient room)
 If any trials are First-in-Human then please state 'FIH' under Phase

Date Commenced	Date Completed	Study Title in Full	Phase	No of Subjects	Territory if not UK

6. SUMMARY OF TRIALS *PLANNED* FOR THE NEXT 12 MONTHS (please complete on separate page if insufficient room)
 If any trials are First-in-Human then please state 'FIH' under Phase

Date Commenced	Date Completed	Study Title in Full	Phase	No of Subjects	Territory if not UK



7.		
a)	Who are your current Insurer(s)? If currently uninsured please state.	
b)	What is the renewal date of your current Insurance policy covering Clinical Trials?	
c)	If placed on a Claims Made basis what retroactive date is currently applied to the policy?	
d)	Please state Limit(s) of Indemnity for which a quotation is required or local currency equivalent	£

I/We declare that to the best of my/our knowledge and belief the above statement are true and complete and will form part of the contract between me/us and the Underwriters.

Name and position of person completing this Questionnaire:-		Name:
		Position:
		Signed:
		Date:

IMPORTANT:

If you have insufficient space to complete any of your answers please continue on your headed paper and attach it to this form.